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FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE APPLICATION NO. 1307 01/08/2002 Ming Jia 71493-1042/pw 10/038,916 EXAMINER 7380 7590 11/30/2005 SMART & BIGGAR/FETHERSTONHAUGH & CO. GHULAMALI, QUTBUDDIN P.O. BOX 2999, STATION D ART UNIT PAPER NUMBER 900-55 METCALFE STREET OTTAWA, ON K1P5Y6 2637 CANADA DATE MAILED: 11/30/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)
	10/038,916	JIA ET AL.
	Examiner	Art Unit
	Qutub Ghulamali	2637
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply		
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).		
Status		
1) Responsive to communication(s) filed on <u>09 September 2005</u> .		
2a) This action is <b>FINAL</b> . 2b) ★ This action is non-final.		
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is		
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.		
Disposition of Claims		
4) ☐ Claim(s) 1-38 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5) ☐ Claim(s) 18-32 and 34-38 is/are allowed.  6) ☐ Claim(s) 1-17, 33 is/are rejected.  7) ☐ Claim(s) is/are objected to.  8) ☐ Claim(s) are subject to restriction and/or election requirement.		
Application Papers		
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) access applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Examine	epted or b) objected to by the drawing(s) be held in abeyance. Selion is required if the drawing(s) is ob	e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119		
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>		
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal F 6) Other:	

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#### **DETAILED ACTION**

1. This Office Action is responsive to the Remarks/Arguments, pages 12-19, filed by the applicant on 09/09/2005.

2. Amendment of claims 1, 11 and 28, by the applicant filed 09/09/2005, is hereby acknowledged. The amendment is considered acceptable.

# Response to Remarks/Arguments

- 3. Applicant's remarks/arguments, filed 09/09/2005, regarding claims 1, 4, 11, 14, 17, 7-10 and 39-41 (pages 12-19) have been fully considered but are not persuasive.
- 4. Applicant's arguments, see pages 12-19, filed 09/09/2005, with respect to the rejection(s) of claim(s) 1, 4, 11, 14 and 17, 7-10 and 39-41 under 35 U.S.C. 103 (a) and 35 U.S.C 102 (e), have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of interpretation of the previously applied references to claims 7-10, 39-41 and 36-37. In view of remarks/argument by the applicant regarding claims 7-10 and 39-41, the examiner's response follows.

# Claim Rejections - 35 USC § 112

5. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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6. Claim 17 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 17, recites a method but, since the claim does not set forth any steps involved in the method/process, it is unclear what method/process applicant is intending to encompass. A claim is indefinite where it merely recites a use without further delimiting the steps for its use. Ex parte Erlich, 3 USPQ 2d 1011 (Bd. PA & 1. 1986).

## Claim Rejections - 35 USC § 103

- 7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 8. Claims 1, 4, 7, 11, 14, 17 and 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over ten Brink (US patent 6,611,513) in view of Stein (USP 6,175,590).

Regarding claims 1, 4, 11, 14 and 36, Brink discloses a transmitter and a receiver adapted to transmit and receive comprising:

a symbol de-mapper (fig. 3, element 24), receiving as input a sequence of received symbols over the channel whose quality is to be measured, said symbol de-mapper being adapted to perform symbol de-mapping on said sequence of received symbols to produce a sequence of soft data element decisions (see abstract, page 1, lines 63-67; page 2, lines 1-3; page 4, lines 60-67; page 5, lines 10-20);

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a soft decoder, receiving as input the sequence of soft data element decisions produced by the symbol de-mapper, said soft decoder being adapted to decode the sequence of soft data element decisions to produce a decoded output sequence (page 5, lines 22-38).

Brink, however does not explicitly disclose, an encoder, receiving as input the decoded output sequence produced by the soft decoder, said encoder being adapted to re-encode the decoded output sequence with an identical code to a code used in encoding the source data element sequence to produce a re-encoded output sequence; and

a correlator receiving as input the sequence of soft data elements to produce a channel quality indicator output by determining a correlation between the sequence of soft data element decisions and the re-encoded output sequence.

Stein, in a similar field of endeavor discloses:

an encoder (236), receiving as input the decoded output (230) sequence produced by the soft decoder, said encoder being adapted to re-encode the decoded output sequence with an identical code to a code used in encoding the source data element sequence to produce a re-encoded output sequence (col. 5, lines 57-67; col. 6, lines 1-24); and a correlator, receiving as input the sequence of soft data element decisions produced by the symbol de-mapper, and the re-encoded output sequence produced by the encoder, said correlator being adapted to produce a channel quality indicator output by determining a correlation between the sequence of soft data element decisions and the re-encoded output sequence (col. 3, lines 1-16). It would have been obvious to a person of ordinary skill in the art at the time the invention was made to use an encoder to re-encode the decoded output sequence with an identical code to a code used in encoding the source data element sequence to produce a re-encoded output

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sequence, and a correlator to determining a correlation between the sequence of soft data element decisions and the re-encoded output sequence as taught by Stein in the system of Brink because the re-encoding can provide a higher rate of confidence with the received data and a correlator for correlation between sequences can indicate that no error exists in the received data frame.

Regarding claim 7, Brink discloses, a transmitter and a receiver adapted to transmit and receive orthogonal channelized codes comprising:

receiving a sequence of OFDM symbols over the OFDM channel whose quality is to be measured (abstract; col. 2, lines 65-67);

symbol de-mapping said sequence of received symbols to produce a sequence of soft data element decision (see abstract, page 1, lines 63-67; page 2, lines 1-3; page 4, lines 60-67; page 5, lines 10-20);

decoding said sequence of soft data element decisions to produce a decoded output sequence pertaining to the source data element sequence (page 5, lines 22-38).

decoder, said encoder being adapted to re-encode the decoded output sequence with an identical code to a code used in encoding the source data element sequence to produce a re-encoded output sequence (col. 5, lines 57-67; col. 6, lines 1-24).

Brink, however does not explicitly disclose, re-encoding said decoded output sequence to produce a re-encoded output sequence using a code identical to a code used in encoding the source data element sequence; and

correlating said re-encoded output sequence, and said sequence of soft data elements decisions to produce a channel quality indicator output.

Stein, in a similar field of endeavor discloses:

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re-encoding said decoded output sequence to produce a re-encoded output sequence using a code identical to a code used in encoding the source data element sequence (col. 5, lines 57-67; col. 6, lines 1-24); and

correlating said re-encoded output sequence, and said sequence of soft data elements decisions to produce a channel quality indicator output (col. 3, lines 1-16). It would have been obvious to a person of ordinary skill in the art at the time the invention was made to use an encoder to reencode the decoded output sequence with an identical code to a code used in encoding the source data element sequence to produce a re-encoded output sequence, and a correlator to determining a correlation between the sequence of soft data element decisions and the re-encoded output sequence as taught by Stein in the system of Brink because the re-encoding can provide a higher rate of confidence with the received data and a correlator for correlation between sequences can indicate that no error exists in the received data frame.

As per claim 17, Brink discloses a method of determining a channel quality comprising: correlating a soft data element decision sequence with a second data element sequence; the second data element sequence being produced by decoding the soft data element decision sequence to produce a decoded sequence (col. 1, lines 54-67). Brink, however does not explicitly disclose re-encoding the decoded sequence. Stein in a similar field of endeavor discloses an encoder (236), being adapted to re-encode the decoded output sequence (col. 5, lines 57-67; col. 6, lines 1-24). It would have been obvious to a person of ordinary skill in the art at the time the invention was made to use an encoder to re-encode the decoded output sequence as taught by Stein in the system of Brink because the re-encoding can provide a higher rate of confidence with the received data.

9. Claims 2, 3, 5, 6, 12, 13, 15 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Brink (USP 6,611,513) in view of Stein (USP 6,175,590) as applied to claim 1 above, and further in view of Jones et al (USP 6,215,813).

Regarding claims 2, 3, 5, 6, 12, 13, 15 and 16, Brink and Stein combined discloses every feature of the claimed invention. The combination however, is silent regarding symbol demapper is adapted to perform QPSK symbol de-mapping and Euclidean distance. Jones in a similar field of endeavor discloses a symbol de-mapper is adapted to perform QPSK symbol demapping and least squared Euclidean distance to the transmission symbol from the received symbol. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use QPSK symbol de-mapping and least squared Euclidean distance as taught by Jones in the system of Brink and Stein because it can enhance performance in bandwidth and system efficiency with relatively high processing gain.

10. Claim 33 is rejected under 35 U.S.C. 103(a) as being unpatentable over Brink (USP 6,611,513) in view of Stein (USP 6,175,590), and further in view of Isaksson et al (USP 6,865,232).

Regarding claim 33, Brink and Stein combined discloses every feature of the claimed invention. The combination however, is silent regarding a computer readable storage medium to store instructions for implementing the method. Isaksson in a similar field of endeavor discloses (fig. 4) the system can be connected to a computer interface to store various functional units and instruction for implementing the method. It would therefore, be obvious to one of ordinary skill in the art at the time the invention was made to use a computer readable medium to store the various instructions to the method claimed as taught by Isaksson in the combined system of

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Brink and Stein because it can provide useful information for recalling and carrying out the instructions on a computational basis.

11. Claims 8-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Brink (USP 6,611,513) in view of Stein (USP 6,175,590), and further in view of Thomas (US Pub. 2002/0051498).

Regarding claim 8, Brink and Stein in combination discloses all limitations of the claim except, disclose the symbol de-mapping is QPSK symbol de-mapping. Thomas in a similar field of endeavor discloses the symbol de-mapping is QPSK symbol de-mapping (page 6, section 0090). It would have been obvious to a person of ordinary skill in the art at the time the invention was made to use QPSK de-mapping of symbols as taught by Thomas in the combined art of Brink and Stein because it can minimize error rate in the transmission of signals and optimize synchronization.

Regarding claim 9, Brink and Stein in combination discloses all limitations of the claim except, does not explicitly show said sequence of received symbols comprises Euclidean distance conditional LLR de-mapping. Thomas in a similar field of endeavor discloses sequence of received symbols comprises Euclidean distance conditional LLR de-mapping (page 4, section 0062). It would have been obvious to a person of ordinary skill in the art at the time the invention was made to use Euclidean distance conditional LLR de-mapping as taught by Thomas in the combined art of Brink and Stein because it can minimize error rate in the transmission of signals and optimize synchronization.

With reference to claim 10, Brink and Stein in combination discloses all limitations of the claim except, does not explicitly show decoding of sequence of soft data element decisions to

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produce output sequence further comprises using a history of the soft data element decisions, and using information about encoding of the sequence of symbols transmitted over the channel. Thomas in a similar field of endeavor discloses decoding of sequence of soft data element decisions to produce output sequence further comprises using a history of the soft data element decisions, and using information about encoding of the sequence of symbols transmitted over the channel (page 6, section 0090). It would have been obvious to a person of ordinary skill in the art at the time the invention was made to use Euclidean distance conditional LLR de-mapping as taught by Thomas in the combined art of Brink and Stein because it can minimize error rate in the transmission of signals and optimize transmission time.

12. In response to applicant's remarks/argument with reference to claims 39-41, that the reference to Thomas does not disclose the elements of the method as recited, the examiner respectfully offers the following rebuttal.

The limitations recited in claim 7 originates from steps of a method claim, which requires receiving a sequence of OFDM symbols over the OFDM channel; symbol de-mapping; decoding of sequence of soft data elements; re-encoding of decoded output sequences and correlation of re-encoded output sequences to produce a channel quality indicator output. As shown in the art of Thomas, Thomas discloses: a method of measuring OFDM reliability/quality of an OFDM channel comprising:

receiving a sequence of OFDM symbols over the OFDM channel whose quality is to be measured (abstract; page 4, section 0069; page 6, section 0090);

symbol de-mapping said sequence of received symbols to produce a sequence of soft data element decisions (page 9, section 0137);

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decoding said sequence of soft data element decisions to produce a decoded output sequence pertaining to the source data element sequence (abstract; page 1, section 0007; pages 3-4, section 0062)

re-encoding said decoded output sequence to produce a re-encoded output sequence using a code identical to a code used in encoding the source data element sequence (page 9, section 0137; page 10, section 0141); and

correlating said re-encoded output sequence, and said sequence of soft data element decisions to produce a channel quality indicator output (abstract; page 3, section 0060; page 8, section 0115).

Therefore, information presented in Thomas anticipates all limitations as claimed in claim 7.

13. As per remarks/argument to claims 39-41, the examiner has made a careful review and considers the rejection of claims 39-41 as being proper. The applicant asserts that Thomas does not disclose combining pilot and transmission parameter on a single overhead channel. The examiner respectfully disagrees, and would like to draw applicant's attention to page 6, section 0090 and 0091 wherein Thomas discloses in context of the OFDM transmission of signals the use of overhead (pilot is generally regarded as part of the overhead) in order to reduce bit count in transmission of signal to the receiver. Therefore the claim limitation is properly addressed as disclosed in Thomas rendering the argument as moot.

### Claim Rejections - 35 USC § 102

14. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

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(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

15. Claims 39-41 are rejected under 35 U.S.C. 102(e) as being anticipated by Thomas et al (US Pub. 2002/0051498).

Regarding claim 39, Thomas discloses a transmitter adapted to combine pilot and transmission parameter on a single overhead channel within an OFDM signal (col. 6, section 0091).

Regarding claim 40, Thomas discloses a transmitter wherein a set of transmission parameter signaling symbols are transmitted on the overhead channel with strong encoding such that at a receiver, they can be decoded accurately, re-encoded, and the re-encoded symbols treated as known pilot symbols which can then be used for channel estimation (col. 6, section 0091).

Regarding claim 41, Thomas discloses a receiver adapted to produce decode a received signal containing the encoded transmission parameter signaling symbols as modified by a channel, re-encode the decoded symbols to produce known pilot (col. 6, sections 0090, 0091, 0092).

#### Allowable Subject Matter

- 16. Claims 18-32, 34-35 and 38 allowed.
- 17. Claim 37 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

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#### Conclusion

18. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Qutub Ghulamali whose telephone number is (571) 272-3014. The examiner can normally be reached on Monday-Friday from 8:00AM - 5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jay Patel can be reached on (571) 272-2988. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

QG. November 25, 2005.

11-29-05